



11/26/01

Docket No.: 50395-124

**UTILITY PATENT APPLICATION
UNDER 37 CFR 1.53(b)**

Box PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

J1002 U.S. PTO
09/993410
11/26/01

Transmitted herewith for filing is the patent application of:

INVENTOR: Makoto KATAYAMA, Masayuki NISHIMURA
FOR: OPTICAL DEVICE

Enclosed are:

- ☒ 20 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☒ Certified copy of Japanese Patent Application No. 2000-366912
- ☒ 11 sheets of formal drawing.
- ☒ An assignment of the invention to Sumitomo Electric Industries, Ltd.
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard
- ☐

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	16	-20	0	\$18.00	\$0.00
Independent Claims	1	-3	0	\$84.00	\$0.00
Multiple Dependent Claim(s)					\$280.00
Basic Fee					\$740.00
Total of Above Calculations					\$1,020.00
Less ½ for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00
Total Fee					\$1,060.00



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PATENT TRADEMARK OFFICE

- ☒ Please charge my Deposit Account No. 500417 in the amount of \$1,060.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



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